

# Alterations

Notes by OLIVIER DE GAAY FORTMAN, January 24, 2023

## 1 Introduction

- 1.1 **General idea.** The goal of this notes is to explain the main ideas behind the proof of De Jong's alteration theorem [Jon96]. We also put the alteration theorem in some context, by providing applications and extensions.

**Notation 1.1.** A *variety* is an integral separated scheme of finite type over a field. A *modification* of a variety  $X$  is a proper birational morphism  $X' \rightarrow X$  with  $X'$  a variety. An *alteration* of  $X$  is a proper dominant map  $X' \rightarrow X$  where  $X'$  is a variety with  $\dim(X') = \dim(X)$ .

**Examples 1.2.** 1. Blow-ups are modifications.

2. Modifications are alterations.

3. Finite field extensions are alterations.

These notions are used in geometric situations, when one wants to simplify such a situation by ‘modifying’ or ‘altering’ the varieties under consideration. The idea is to get to this new situation while staying as close to the geometry of original variety as possible.

**Examples 1.3.** 1. (From NC to SNC.) Let  $D$  be a normal crossings divisor on a noetherian scheme  $S$ . There exists a blow-up  $S' \rightarrow S$  in an idea with support in  $D$  such that  $\varphi^{-1}(D)_{\text{red}}$  is a strict normal crossings divisor.

2. (Extending families of curves.) Let  $Y$  be a projective variety,  $U \subset Y$  a non-empty open subset,  $n \in \mathbb{Z}_{\geq 3}$  and

$$(\mathcal{C}_0 \rightarrow U, \quad \sigma_1, \dots, \sigma_n: U \rightarrow \mathcal{C}_0)$$

be a smooth  $n$ -pointed curve of genus  $g \geq 0$ .

*Claim:* There exists a generically étale alteration  $\varphi: Y' \rightarrow Y$  such that for  $U' = \varphi^{-1}(U) \subset Y'$ , the base change

$$\mathcal{C}'_0 \rightarrow U'$$

extends to a stable  $n$ -pointed curve  $\mathcal{C}' \rightarrow U'$ . *Proof:* We get a morphism

$$U \rightarrow \mathcal{M}_{g,n}$$

to the stack of smooth stable  $n$ -pointed curves of genus  $g$ . Choose  $\ell \geq 3$  a prime number unequal to  $\text{char}(k)$ , and let

$$U' \subset U \times_{\mathcal{M}_{g,n}} M_{g,n}^\ell$$

be an irreducible component. Then  $U'$  non-empty and finite étale over  $U$ . Put

$$Y' = \overline{\text{Im} \left( U' \rightarrow Y \times \overline{M}_{g,n}^\ell \right)} \subset Y \times \overline{M}_{g,n}^\ell.$$

Then  $Y' \rightarrow Y$  is a generically étale alteration by a projective variety, and the composition

$$Y' \rightarrow \overline{M}_{g,n}^\ell \rightarrow \overline{\mathcal{M}_{g,n}}[1/\ell] \rightarrow \overline{\mathcal{M}_{g,n}}$$

gives the desired family of curves. □

What about resolving singularities?

## 1.2 Resolution of singularities.

**Definition 1.4.** Let  $(X, Z)$  be a pair where  $X$  is a variety over a field and  $Z \subset X$  a closed subscheme. The pair  $(X, Z)$  admits an *altered desingularization* (or *altered resolution of singularities*) if there exists an alteration

$$\varphi: X' \rightarrow X,$$

a smooth projective variety  $\overline{X}'$  over  $k$ , an open immersion  $j: X' \rightarrow \overline{X}'$  and a strict normal crossings divisor  $D \subset \overline{X}'$  such that  $D = \overline{j(Z')} \cup \overline{X}' \setminus X' \subset \overline{X}'$ . We say that  $(X, Z)$  admits a *desingularization* if such an alteration exists with  $\varphi$  birational.

Picture:

$$\begin{array}{ccc} \varphi^{-1}(Z) & \longrightarrow & D \\ \downarrow & \searrow & \downarrow \\ & & X' \\ \downarrow & & \downarrow \\ Z & \longrightarrow & X \end{array} \quad \begin{array}{ccc} & & \overline{X}' \\ & & \downarrow \\ & & X' \\ & & \downarrow \\ & & X \end{array}$$

We have seen, so far:

**Theorem 1.5** (Hironaka). *Let  $X$  be a variety over a field  $k$  of characteristic zero, and let  $Z \subset X$  be a proper closed subscheme. Then  $(X, Z)$  admits a desingularization.*

In this talk, and the next, Morten and I will prove:

**Theorem** (Theorem (A). De Jong). *Let  $X$  be a variety over a field  $k$ , and let  $Z \subset X$  be a proper closed subscheme. Then  $(X, Z)$  admits an altered desingularization. If  $k$  is perfect then the alteration  $\varphi: X' \rightarrow X$  may be chosen generically étale.*

**Remarks 1.6.** 1. If  $k$  is perfect, then  $\overline{X}'$  is smooth over  $k$ . (But there are regular varieties which are not smooth: think of  $Z(y^p - x^2 - t) \subset \mathbb{A}_{\mathbb{F}_p(t)}^2$ .)

2. One obtains:

**Corollary 1.7.** *Any variety  $X' \rightarrow X$  admits an alteration by a regular variety. If  $X$  is projective then there exists an alteration  $X' \rightarrow X$  with  $X'$  projective and regular.*

3. The subscheme  $Z \subset X$  is actually used the proof, which relies on an inductive argument. It is not clear how to prove Corollary 1.7 directly.

4. The proof shows that the structural morphism  $\overline{X}' \rightarrow \text{Spec } k$  factors over a finite field extension  $k \subset k'$  such that  $\overline{X}'$  is geometrically irreducible over  $k'$ .

## 2 Applications and extensions

2.1 **Applications.** Using Theorem (A), one can prove, for instance, that:

1. For any variety over a perfect field  $k$ , there exists:

- (a) a simplicial scheme  $X_\bullet$  projective and smooth over  $k$ ;
- (b) a strict normal crossings divisor  $D_\bullet$  in  $X_\bullet$ ; we put  $U_\bullet$ , and
- (c) an augmentation  $a: U_\bullet \rightarrow X$  which is a proper hypercovering of  $X$ .

2. We have [Del74]:

$$H_{et}^i(X \otimes \bar{k}, \mathbb{Q}_\ell) \cong \mathbf{H}_{et}^i(U_\bullet \otimes \bar{k}, \mathbb{Q}_\ell).$$

If  $k$  is finite, then the eigenvalues of Frobenius on  $H_{et}^i(X \otimes \bar{k}, \mathbb{Q}_\ell)$  occur as eigenvalues of Frobenius on some cohomology group of some smooth projective variety, and hence are Weil numbers (compare [Del80]).

3. If  $k = \mathbb{C}$ , then [Del74]:

$$H^i(X(\mathbb{C}), \mathbb{Q}) \cong \mathbf{H}^i(U_\bullet(\mathbb{C}), \mathbb{Q}).$$

Moreover, Theorem (A) suffices to construct the mixed Hodge structure on  $H^i(X(\mathbb{C}), \mathbb{Q})$ .

4. Similarly, Theorem (A) can be used to define crystalline cohomology of  $X$ , when  $X$  is defined over a perfect field of characteristic  $p > 0$ . One obtains a finite-dimensional crystalline cohomology (but it is not clear that the result is independent of the choice of  $(X_\bullet, D_\bullet, a)$ ).

2.2 **Extensions.** One has the following extension of Theorem (A):

**Theorem 2.1** (Gabber). *Let  $X$  be a variety over a field  $k$ , and  $\ell \neq \text{char}(k)$  a prime number. The alteration  $X' \rightarrow X$  with  $X$  regular can be chosen in such a way that  $[K(X') : K(X)]$  is prime to  $\ell$ .*

This has useful consequences. For instance, if  $X$  is a smooth projective variety over a perfect field  $k$  of characteristic  $p$ , and  $Z \subset X$  is a closed subvariety of codimension  $k$ , let  $Z' \rightarrow Z$  be an alteration with  $Z'$  smooth projective over  $k$ . Consider the composition  $f : Z' \rightarrow Z \rightarrow X$ . One may then define  $[Z] := \frac{1}{d} \cdot f_*(1) \in H_{et}^{2k}(X_{k^{sep}}, \mathbb{Z}_\ell(k))$ . Here  $d = [K(Z') : K(Z)]$  and

$$f_* : \mathbb{Z}_\ell = H^0(Z_{k^{sep}}, \mathbb{Z}_\ell) \rightarrow H_{et}^{2k}(X_{k^{sep}}, \mathbb{Z}_\ell(k))$$

is the push-forward on cohomology attached to the morphism of smooth varieties  $f$  [Mil80, Section IV, §11]. Since any two alterations by smooth projective varieties can be covered by a third, this class does not depend on the alteration chosen.

The most general known statement seems to be the following. Given a scheme  $X$ , by  $\text{char}(X)$  we denote the set of all primes  $p$  with  $p = \text{char}(k(x))$  for some  $x \in X$ . Let  $\mathcal{P}$  be a set of primes, possibly empty. By a  $\mathcal{P}$ -alteration we mean an alteration such that if  $d = \prod_i p_i^{k_i}$  is the degree of the alteration, then  $p_i \in \mathcal{P}$  for all  $i$ .

**Example 2.2.** Let  $p$  be a prime number. Then a  $\{p\}$ -alteration of a variety over a field of characteristic  $p$  is an alteration  $X' \rightarrow X$  of degree  $p^r$  for some  $r \geq 0$ .

**Theorem 2.3** (De Jong, Gabber, Illusie, Temkin). *Let  $X$  and  $S$  be Noetherian integral schemes,  $f : X \rightarrow S$  a morphism of finite type. If any alteration of  $S$  can be desingularized by a  $\text{char}(S)$ -alteration, then both  $X$  and  $f$  can be desingularized by a  $\text{char}(X)$ -alteration.*

**Corollary 2.4.** *Let  $X$  be a variety over a field of characteristic  $p \geq 0$ . Let  $\mathcal{P}$  be any set of prime numbers such that  $p \in \mathcal{P}$  if  $p > 0$ . Then  $X$  is  $\mathcal{P}$ -resolvable. If  $p = 0$  then  $X$  admits a resolution of singularities.*

**Remark 2.5.** If  $k$  is perfect then  $X$  is separably  $\mathcal{P}$ -resolvable.

Let us be more precise. Let  $P$  be a set of primes, and  $S$  a Noetherian integral scheme. We say that  $S$  is *universally  $P$ -resolvable* if for any alteration  $Y \rightarrow S$  and any strict closed subset  $Z \subset Y$  there exists a  $P$ -alteration  $f : Y' \rightarrow Y$  such that  $Y'$  is regular and  $Z' = f^{-1}(Z)$  is a strict normal crossings divisor. The precise version of Theorem 2.3 is the following.

**Theorem 2.6** (De Jong, Gabber, Illusie, Temkin). *Let  $X$  and  $S$  be Noetherian integral schemes,  $f : X \rightarrow S$  dominant of finite type. Let  $Z \subset X$  be a strict closed subset, and assume that  $S$  is universally  $P$ -resolvable for a set of primes  $P$  with  $\text{char}(S) \subset P$ . Then*

(i)  $X$  is universally  $P$ -resolvable.

(ii) There exist projective  $P$ -alterations  $a: S' \rightarrow S$  and  $b: X' \rightarrow X$ , with regular sources, a quasi-projective morphism  $f': X' \rightarrow S'$  compatible with  $(f, a, b)$ , and *snc* divisors  $W' \subset S'$  and  $Z' \subset X'$ , such that  $Z' = b^{-1}(Z) \cup (f')^{-1}(W')$  and the morphism  $(X', Z') \rightarrow (S', W')$  is log-smooth.

(iii) If  $S = \text{Spec } k$  and  $k$  is a perfect field, then one can achieve in addition to (ii) that  $a$  is an isomorphism and  $b$  is separable.

*Proof.* See [Tem17]. □

For any practical application, one should start with a class of schemes  $S$  that satisfy the desingularization property as above. Recall that a Noetherian ring is *quasi-excellent* if it has geometrically regular formal fibres and if any finite type algebra over it has closed singular set. A locally noetherian scheme is quasi-excellent if it admits an affine open covering by spectra of quasi-excellent rings. Remark that any algebraic scheme is excellent [Liu02, Chapter 8, Corollary 2.40].

Reduced, separated, Noetherian, quasi-excellent schemes of dimension  $\leq 3$  admit a modification by a regular scheme [CP19]. Hence, to apply Theorem 2.6, we can take  $S$  to be a three-dimensional scheme having these properties.

Finally, we recall the relation between quasi-excellent schemes and resolutions of singularities.

**Proposition 2.7** (Grothendieck). *Let  $X$  be a locally noetherian scheme. Suppose that, for every integral scheme  $Y$  finite over  $X$ , one can resolve the singularities of  $Y$ . Then  $X$  is a quasi-excellent scheme.*

*Proof.* See [DG61, §7]. □

Grothendieck asks whether the converse holds:

**Conjecture 2.8** (Grothendieck). *Let  $X$  be a reduced locally Noetherian scheme. If  $X$  is quasi-excellent, then  $X$  admits a desingularization (and hence the same holds for any reduced scheme  $Y$  locally of finite type over  $X$ ).*

### 3 Outline of the proof of Theorem (A)

As we explained above, the proof of Theorem (A) will be done in two talks. In this talk, I present the first half of the proof of the theorem, and explain what will be next.

#### 3.1 Outline of the proof. The idea is as follows.

1. By applying suitable **alterations**, reduce Theorem (A) to the case where  $k = \bar{k}$ ,  $X$  is normal and projective, and  $Z \subset X$  is the support of a Cartier divisor  $D \subset X$ .
2. Modify  $X$  (i.e. define a projective **modification** of  $X$ ) in order to construct a suitable family of curves  $X \rightarrow Y$  over a projective variety  $Y$ , smooth over a non-empty open  $U \subset Y$ , together with  $n \geq 3$  sections  $\sigma_i: Y \rightarrow X$  such that  $Z = \cup_i \sigma_i(Z)$ .

3. Use the moduli stacks of curves  $\mathcal{M}_{g,n}$  and  $\overline{\mathcal{M}}_{g,n}$ , and the projectivity of the coarse moduli space of  $\overline{\mathcal{M}}_{g,n}$ , to define an **alteration**  $Y' \rightarrow Y$  and an extension of the smooth  $n$ -pointed curve  $X_{U'} \rightarrow U'$  to a stable  $n$ -pointed curve  $f: \mathcal{C} \rightarrow Y'$ . Replace  $Y$  by  $Y'$ .

4. Prove that the rational map  $\beta: \mathcal{C} \dashrightarrow X$  extends to a morphism  $\beta: \mathcal{C} \rightarrow X$ , and that there is a closed subset  $D \subset Y$  such that  $\beta^{-1}(Z)$  is contained in the union of the images of the sections  $\tau_i: Y \rightarrow \mathcal{C}$  and  $f^{-1}(D)$ , and such that  $\mathcal{C} \rightarrow Y$  is smooth over  $Y \setminus D$ . Since  $\beta$  is a **modification**, after replacing  $X$  by  $\mathcal{C}$  we may assume that  $X \rightarrow Y$  is a stable  $n$ -pointed curve, smooth over  $Y \setminus D$ .

5. Apply the **induction hypothesis** to the pair  $(Y, D)$ : up to **altering** the pair  $(Y, D)$ , we may assume that  $D \subset Y$  is a divisor with strict normal crossings,  $f: X \rightarrow Y$  is a stable curve, smooth over  $Y \setminus D$ ; and

$$Z = \bigcup_i \sigma_i(Y) \cup f^{-1}(D) \subset X,$$

where  $\sigma_i, 1 \leq i \leq n$  are mutually disjoint sections into the smooth locus of  $f$ .

6. **Modify**  $X$  to get that  $\text{Sing}(X)$  has codimension at least three in  $X$ .

7. Calculate what the singularities of  $(X, Z)$  must look like: for a smooth point  $x \in X$ ,  $Z$  is a normal crossings divisor at  $x$ , and for  $x \in \text{Sing}(X)$ , there are  $2 \leq s \leq r \leq d-1$  such that

$$\mathcal{O}_{X,x}^\wedge = k[[u, v, t_1, \dots, t_{d-1}]]/(uv - t_1 \cdot t_2 \cdots t_s),$$

$Z \subset X$  is defined by  $t_1 \cdots t_r = 0$ , and the irreducible components of  $\text{Sing}(X)$  are smooth.

8. For the blow up  $X' \rightarrow X$  of  $X$  in a component of  $\text{Sing}(X)$ , show that the number of components of  $\text{Sing}(X')$  is one less than the number of components of  $\text{Sing}(X)$ .

9. By repeatedly **blowing up**  $(X, Z)$ , we get to the situation that  $X$  is smooth and  $Z$  is a strict normal crossings divisor. This finishes the proof of Theorem (A).

## 4 Proof of Theorem (A): Part I

Let  $X$  be a variety over a field  $k$ , with a proper closed subscheme  $Z \subset X$ .

**Lemma 4.1.** *To prove that an altered desingularization of  $(X, Z)$  exists, we may replace  $(X, Z)$  by  $(X', Z')$  for any alteration  $\varphi: X' \rightarrow X$ , with  $Z' = \varphi^{-1}(Z)$ .*

Consider the following hypotheses:

**Conditions 4.2.** 1. *The field  $k$  is algebraically closed.*

2.  *$X$  is projective and there exists a divisor  $D \subset X$  such that  $Z$  is the support of  $D$ .*

**Proposition (R).** *To prove Theorem (A), we may assume that Conditions 4.2.1-4.2.2 hold.*

*Proof.* 1. Let  $\bar{k}$  be an algebraic closure of  $k$ , let  $Y$  be an irreducible component of  $X \times_k \bar{k}$ , and let  $C$  be the inverse image of  $Z$  in  $Y$ . Suppose that we can find  $f: Y' \rightarrow Y$  and  $Y \hookrightarrow \bar{Y}$  as in the theorem. Then the altered desingularization

$$(C' \subset Y' \rightarrow Y \supset C, Y \hookrightarrow \bar{Y}) \quad (1)$$

is defined over a finite extension  $k_1$  of  $k$ . In other words, we have

$$(C'_1 \subset Y'_1 \rightarrow Y_1 \supset C_1, Y_1 \hookrightarrow \bar{Y}_1)$$

over  $k_1$  such that these give rise to (1) after base extension. The composition

$$X' = Y'_1 \rightarrow Y_1 \hookrightarrow X \otimes k_1 \rightarrow X$$

defines the desired alteration  $X' \rightarrow X$  of  $X$ .

2. Perform the following steps:

- Take a modification  $\varphi: X_1 \rightarrow X$  with  $X_1$  quasi-projective (Chow's lemma) and define  $Z_1 = \varphi^{-1}(Z)$ .
- Embed  $j: X_1 \rightarrow \bar{X}_1$  into a projective variety  $\bar{X}_1$  and define  $\bar{Z}_1 = \overline{j(Z_1)} \cup \bar{X}_1 \setminus X_1$ .
- Blow up  $\bar{X}_1$  in the ideal sheaf of  $\bar{Z}_1$ .

If the resulting pair  $(\bar{X}'_1, \bar{Z}'_1)$  admits an altered desingularization, the same holds for  $(X, Z)$ .  $\square$

**Remark 4.3.** By normalizing  $X$ , we may assume in addition that  $X$  is a normal variety.

## 5 Proof of Theorem (A)

In the sequel, a *curve* over a field  $k$  is a geometrically connected algebraic scheme  $C$  over  $k$  which is equidimensional of dimension one.

**Theorem (Theorem (B)).** *Let  $X$  be a projective variety over an algebraically closed field  $k$ , and let  $Z \subset X$  be the support of a divisor  $D \subset X$ . There exists an alteration  $X' \rightarrow X$ , a closed subscheme  $Z' \subset X'$  which is the support of a divisor  $D' \subset X'$ , a morphism of projective varieties  $f: X' \rightarrow Y'$  such that:*

1. *All fibres are curves.*
2. *The smooth locus of  $f$  is dense in all fibres.*
3. *The generic fibre of  $f$  is smooth.*

4. The morphism  $f|_{Z'}: Z' \rightarrow Y'$  is finite and generically étale.

5. For all geometric points  $\bar{Y} \in Y'$ , and any irreducible component  $C'$  of  $X'_{\bar{Y}} = f^{-1}(\bar{Y})$ , we have

$$\# |\text{sm}(X'/Y') \cap C' \cap Z'| \geq 3.$$

6. There are sections  $\sigma_i: Y' \rightarrow X'$ ,  $i = 1, \dots, n$ , such that  $Z' = \cup_i \sigma_i(Y')$ .

*Sketch of the proof of Theorem (A), assuming Theorem (B).* By Proposition (R) and Theorem (B), we may assume that there exists a morphism of projective varieties

$$f: X \rightarrow Y$$

satisfying the assumptions in Theorem (B). Let

$$U = \{y \in Y \mid X_y \text{ is smooth over } y \text{ and } \sigma_i(y) \neq \sigma_j(y) \text{ for } i \neq j\}.$$

Note that  $U \neq \emptyset$  and that  $n \geq 3$ . Let  $g$  be the genus of  $X_y$  for  $y \in U$ . Let

$$Y' \rightarrow Y$$

be a generically étale alteration by a projective variety  $Y'$  such that  $U' \rightarrow \mathcal{M}_{g,n}$  extends to  $Y' \rightarrow \overline{\mathcal{M}}_{g,n}$  (see Example 1.3).

Replace  $Y$  by  $Y'$  and  $X$  by the closed subscheme of  $X \times_Y Y'$  given by dividing the  $\mathcal{O}_{Y'}$ -torsion out of  $\mathcal{O}_{X \times_Y Y'}$ . Therefore, we may assume that there exists a stable  $n$ -pointed curve

$$(\mathcal{C}, \tau_1, \dots, \tau_n) \rightarrow Y,$$

a non-empty open subscheme  $U \subset Y$  and an isomorphism

$$\beta: \mathcal{C}_U \xrightarrow{\sim} X_U$$

mapping each section  $\tau_i|_U$  to the section  $\sigma_i|_U$ .

**Theorem (C).** *There are modifications  $Y' \rightarrow Y, X' \rightarrow X$  such that the rational map  $\beta: \mathcal{C} \dashrightarrow X$  extends to a morphism  $\beta: \mathcal{C}' \rightarrow X'$  and such that there is a closed subset  $D' \subset Y'$  such that*

$$\beta^{-1}(Z') \subset \tau_1(Y') \cup \dots \cup \tau_n(Y') \cup g^{-1}(D')$$

and such that  $\mathcal{C}' \rightarrow Y'$  is smooth over  $Y' \setminus D'$ .

Replace  $X$  by  $\mathcal{C}$  and  $Z$  by  $\tau_1(Y) \cup \dots \cup \tau_n(Y) \cup f^{-1}(D)$ . Then apply the induction hypothesis to  $(Y, D)$ . Modify  $X$  to get  $\text{codim}(\text{Sing}(X), X) \geq 3$ . The completions of the singularities of  $X$  look like

$$\{uv - t_1 \cdots t_s = 0\} \subset \mathbb{A}_k^{d+1},$$

$Z$  is defined by  $t_1 \cdots t_r = 0$ , and the irreducible components of  $\text{Sing}(X)$  are smooth. Blow these components up repeatedly to get that  $X$  is smooth. Then modify  $X$  by blow-ups in smooth centers to make  $Z \subset X$  a strict normal crossings divisor (and note that  $X$  remains smooth [Har77, Theorem II.8.24]).  $\square$



## 6 Proof of Theorem (B)

**Lemma** (Key Lemma I). *Let  $X$  be a normal projective variety over an algebraically closed field  $k$ , and let  $Z \subset X$  be the support of a divisor  $D \subset X$ . Then there exists a diagram*

$$\begin{array}{ccccc}
 \varphi^{-1}(Z) = Z' & & & & \\
 \downarrow & \searrow & \xrightarrow{f|_{Z'}} & & \\
 & & X' & \xrightarrow{f} & \mathbb{P}^{d-1} \\
 & & \downarrow \varphi & & \\
 Z & \longrightarrow & X & & 
 \end{array}$$

where  $\varphi$  is the blow-up of  $X$  in a finite set of closed points  $S \subset \text{Reg}(X)$  with  $S \cap Z = \emptyset$ , having the following properties:

1. All fibres of  $f$  are non-empty, equidimensional schemes of dimension one.
2. The smooth locus of  $f$  is dense in all fibres of  $f$ .
3. The morphism  $f|_{Z'}: Z' \rightarrow \mathbb{P}^{d-1}$  is finite and étale over an open subscheme of  $\mathbb{P}^{d-1}$ .
4. At least one fibre of  $f$  is smooth.

**Lemma** (Key Lemma II). *Let  $f: X \rightarrow Y$  be a morphism of smooth projective varieties over an algebraically closed field  $k$  such that all fibres are curves. Suppose that the smooth locus of  $f$  is dense in all fibres. There exists a divisor  $H \subset X$  such that*

( $\alpha$ )  $f|_H: H \rightarrow Y$  is finite and generically étale, and

( $\beta$ ) for all geometric points  $\bar{Y} \in Y$ , and any irreducible component  $C$  of  $X_{\bar{Y}} = f^{-1}(\bar{Y})$ , we have

$$\# |\text{sm}(X/Y) \cap C \cap H| \geq 3.$$

*Proof of Theorem (B) assuming Key Lemma's I and II.* Let  $(X, Z)$  be as in the statement of the theorem. Replace  $X$  by a modification to get into the situation of Key Lemma I. Thus, we get a family of curves

$$f: X \rightarrow Y$$

satisfying 1 – 4. By Key Lemma II, there exists a divisor  $H \subset X$  satisfying ( $\alpha$ ) – ( $\beta$ ). It suffices to prove the theorem for the pair  $(X, Z \cup H)$ . Thus we may replace  $Z$  by  $Z \cup H$ . We know have that for all geometric points  $\bar{Y} \in Y$ , and any irreducible component  $C$  of  $X_{\bar{Y}}$ , have

$$\# |\text{sm}(X/Y) \cap C \cap Z| \geq 3. \quad (2)$$

Let  $Z = \bigcup_i Z_i$  be the decomposition into irreducible components. Choose a finite Galois extension  $k(Y) \subset L$  such that  $k(Z_i)$  may be embedded over  $k(Y)$  into  $L$  for all  $i$  (this is possible by 3).

Let  $Y'$  be the normalization of  $Y$  in the field  $L$ . Then  $Y' \rightarrow Y$  is a finite generically étale alteration of  $Y$ . Let  $(X', Z')$  be the strict transform of  $(X, Z)$ . We see that

$$Z' = Z'_1 \cup \cdots \cup Z'_n,$$

with  $Z'_i \rightarrow Y'$  finite and birational, and where  $n \geq 3$  by (2). Hence  $Z'_i \rightarrow Y$  is an isomorphism ( $Y$  is normal). In this way, we obtain sections

$$\sigma_i: Y \rightarrow X, \quad i = 1, \dots, n$$

of  $f$  such that  $Z = \cup_{i=1}^n \sigma_i(Y)$ , with  $n \geq 3$ . This proves Theorem (B). □

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